

Sampling discretization of integral norms. Lecture 2

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Universal discretization problem

Let $\mathcal{X}_N := \{X_N^j\}_{j=1}^k$ be a collection of linear subspaces X_N^j of the $L_q(\Omega)$, $1 \leq q \leq \infty$. We say that a set $\{\xi^\nu \in \Omega, \nu = 1, \dots, m\}$ provides **universal discretization** for the collection \mathcal{X}_N if,

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$$C_1(d, q) \|f\|_q^q \leq \frac{1}{m} \sum_{\nu=1}^m |f(\xi^\nu)|^q \leq C_2(d, q) \|f\|_q^q. \quad (1)$$

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In the case $q = \infty$ for each $j \in [1, k]$ and any $f \in X_N^j$ we have

$$C_1(d) \|f\|_\infty \leq \max_{1 \leq \nu \leq m} |f(\xi^\nu)| \leq \|f\|_\infty. \quad (2)$$

Main new result

We are primarily interested in the Universal discretization for the collection of subspaces of trigonometric polynomials with frequencies from parallelepipeds (rectangles). For $\mathbf{s} \in \mathbb{Z}_+^d$ define

$$R(\mathbf{s}) := \{\mathbf{k} \in \mathbb{Z}^d : |k_j| < 2^{s_j}, \quad j = 1, \dots, d\}.$$

Clearly, $R(\mathbf{s}) = \Pi(\mathbf{N})$ with $N_j = 2^{s_j} - 1$. Consider the collection $\mathcal{C}(n, d) := \{\mathcal{T}(R(\mathbf{s})), \|\mathbf{s}\|_1 = n\}$.

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Theorem (1; VT, 2017)

For every $1 \leq q \leq \infty$ there exists a large enough constant $C(d, q)$, which depends only on d and q , such that for any $n \in \mathbb{N}$ there is a set $\Xi_m := \{\xi^\nu\}_{\nu=1}^m \subset \mathbb{T}^d$, with $m \leq C(d, q)2^n$ that provides universal discretization in L_q for the collection $\mathcal{C}(n, d)$.

Dispersion

Let $d \geq 2$ and $[0, 1)^d$ be the d -dimensional unit cube. For $\mathbf{x}, \mathbf{y} \in [0, 1)^d$ with $\mathbf{x} = (x_1, \dots, x_d)$ and $\mathbf{y} = (y_1, \dots, y_d)$ we write $\mathbf{x} < \mathbf{y}$ if this inequality holds coordinate-wise.

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$$\mathcal{B} := \{[\mathbf{x}, \mathbf{y}) : \mathbf{x}, \mathbf{y} \in [0, 1)^d, \mathbf{x} < \mathbf{y}\}.$$

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$$\mathcal{B} := \{[\mathbf{x}, \mathbf{y}) : \mathbf{x}, \mathbf{y} \in [0, 1]^d, \mathbf{x} < \mathbf{y}\}.$$

For $n \geq 1$ let T be a set of points in $[0, 1]^d$ of cardinality $|T| = n$. The volume of the largest empty (from points of T) axis-parallel box, which can be inscribed in $[0, 1]^d$, is called the **dispersion** of T :

$$\text{disp}(T) := \sup_{B \in \mathcal{B}: B \cap T = \emptyset} \text{vol}(B).$$

A bound on the minimal dispersion

An interesting extremal problem is to find (estimate) the minimal dispersion of point sets of fixed cardinality:

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Definition of the (t, r, d) -net

C. Aistleitner, A. Hinrichs, and D. Rudolf, following G. Larcher, used the (t, r, d) -nets.

Definition

A (t, r, d) -net (in base 2) is a set T of 2^r points in $[0, 1]^d$ such that each dyadic box

$[(a_1 - 1)2^{-s_1}, a_1 2^{-s_1}) \times \cdots \times [(a_d - 1)2^{-s_d}, a_d 2^{-s_d})$, $1 \leq a_j \leq 2^{s_j}$, $j = 1, \dots, d$, of volume 2^{t-r} contains exactly 2^t points of T .

Theorem (2; VT, 2017)

Let a set T with cardinality $|T| = 2^r =: m$ have dispersion satisfying the bound $\text{disp}(T) < C(d)2^{-r}$ with some constant $C(d)$. Then there exists a constant $c(d) \in \mathbb{N}$ such that the set $2\pi T := \{2\pi \mathbf{x} : \mathbf{x} \in T\}$ provides the universal discretization in L_∞ for the collection $\mathcal{C}(n, d)$ with $n = r - c(d)$.

Universal discretization in L_∞

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Theorem (3; VT, 2017)

Assume that $T \subset [0, 1)^d$ is such that the set $2\pi T$ provides universal discretization in L_∞ for the collection $\mathcal{C}(n, d)$. Then there exists a positive constant $C(d)$ with the following property $\text{disp}(T) \leq C(d)2^{-n}$.

We need some classical trigonometric polynomials. We begin with the univariate case. The **Dirichlet kernel** of order n :

$$\begin{aligned}\mathcal{D}_n(x) &:= \sum_{|k| \leq n} e^{ikx} = e^{-inx} (e^{i(2n+1)x} - 1)(e^{ix} - 1)^{-1} \\ &= (\sin(n + 1/2)x) / \sin(x/2)\end{aligned}$$

is an even trigonometric polynomial.

The de la Vallée Poussin kernel:

$$\mathcal{V}_n(x) := n^{-1} \sum_{l=n}^{2n-1} \mathcal{D}_l(x),$$

is an even trigonometric polynomial of order $2n - 1$ with the majorant

$$|\mathcal{V}_n(x)| \leq C \min(n, (nx^2)^{-1}), \quad |x| \leq \pi. \quad (7)$$

Simple lemma

The above relation (7) easily implies the following lemma.

Lemma (1; VT, 2017)

For a set $\Xi_m := \{\xi^\nu\}_{\nu=1}^m \subset \mathbb{T}$ satisfying the condition $|\Xi_m \cap [x(l-1), x(l)]| \leq b$, $x(l) := \pi l/2n$, $l = 1, \dots, 4n$, we have

$$\sum_{\nu=1}^m |\mathcal{V}_n(x - \xi^\nu)| \leq Cbn.$$

We use the above Lemma (1) to prove a one-sided inequality.

Bounds for the operator norm

Lemma (2; VT, 2017)

For a set $\Xi_m := \{\xi^\nu\}_{\nu=1}^m \subset \mathbb{T}$ satisfying the condition $|\Xi_m \cap [x(l-1), x(l)]| \leq b$, $x(l) := \pi l/2n$, $l = 1, \dots, 4n$, we have for $1 \leq q \leq \infty$

$$\left\| m^{-1} \sum_{\nu=1}^m a_\nu \mathcal{V}_n(x - \xi^\nu) \right\|_q \leq C(bn/m)^{1-1/q} \left(\frac{1}{m} \sum_{\nu=1}^m |a_\nu|^q \right)^{1/q}.$$

We now proceed to the multivariate case. Denote the **multivariate de la Vallée Poussin kernels**:

$$\mathcal{V}_{\mathbf{N}}(\mathbf{x}) := \prod_{j=1}^d \mathcal{V}_{N_j}(x_j), \quad \mathbf{N} = (N_1, \dots, N_d).$$

In the same way as above in the univariate case one can establish the following multivariate analog of Lemma (2).

Bounds for the operator norm

Lemma (3; VT, 2017)

For a set $\Xi_m := \{\xi^\nu\}_{\nu=1}^m \subset \mathbb{T}^d$ satisfying the condition $|\Xi_m \cap [\mathbf{x}(\mathbf{n}), \mathbf{x}(\mathbf{n} + \mathbf{1})]| \leq b$, $\mathbf{n} \in P'(\mathbf{N})$, $\mathbf{1}$ is a vector with coordinates 1 for all j , we have for $1 \leq q \leq \infty$

$$\left\| \frac{1}{m} \sum_{\nu=1}^m a_\nu \mathcal{V}_{\mathbf{N}}(\mathbf{x} - \xi^\nu) \right\|_q \leq C(d)(b\nu(\mathbf{N})/m)^{1-1/q} \left(\frac{1}{m} \sum_{\nu=1}^m |a_\nu|^q \right)^{1/q}$$

Upper bound for the discrete norm

Theorem (4; VT, 2017)

Let a set $\Xi_m := \{\xi^\nu\}_{\nu=1}^m \subset \mathbb{T}^d$ satisfy the condition $|\Xi_m \cap [\mathbf{x}(\mathbf{n}), \mathbf{x}(\mathbf{n} + \mathbf{1})]| \leq b(d)$, $\mathbf{n} \in P'(\mathbf{N})$, $\mathbf{1}$ is a vector with coordinates 1 for all j . Then for $m \geq v(\mathbf{N})$ we have for each $f \in \mathcal{T}(\mathbf{N})$ and $1 \leq q \leq \infty$

$$\left(\frac{1}{m} \sum_{\nu=1}^m |f(\xi^\nu)|^q \right)^{1/q} \leq C(d) \|f\|_q.$$

Property E(b)

We now proceed to the inverse bounds for the discrete norm.

Denote

$$\Delta(\mathbf{n}) := [\mathbf{x}(\mathbf{n}), \mathbf{x}(\mathbf{n} + \mathbf{1})], \quad \mathbf{n} \in P'(\mathbf{N}).$$

Suppose that a sequence $\Xi_m := \{\xi^\nu\}_{\nu=1}^m \subset \mathbb{T}^d$ has the following property.

Property E(b). There is a number $b \in \mathbb{N}$ such that for any $\mathbf{n} \in P'(\mathbf{N})$ we have

$$|\Delta(\mathbf{n}) \cap \Xi_m| = b.$$

Clearly, in this case $m = v(\mathbf{N})b$, where $v(\mathbf{N}) = |P'(\mathbf{N})|$.

Main lemma for the lower bound

Lemma (4; VT, 2017)

Suppose that two sequences $\Xi_m := \{\xi^\nu\}_{\nu=1}^m \subset \mathbb{T}^d$ and $\Gamma_m := \{\gamma^\nu\}_{\nu=1}^m \subset \mathbb{T}^d$ satisfy the following condition. For a given $j \in \{1, \dots, d\}$, γ^ν may only differ from ξ^ν in the j th coordinate. Moreover, assume that if $\xi^\nu \in \Delta(\mathbf{n})$ then also $\gamma^\nu \in \Delta(\mathbf{n})$. Finally, assume that Ξ_m has property $E(b)$ with $b \leq C'(d)$. Then for $f \in \mathcal{T}(\mathbf{K})$ with $\mathbf{K} \leq \mathbf{N}$ we have

$$\frac{1}{m} \sum_{\nu=1}^m \left| |f(\xi^\nu)|^q - |f(\gamma^\nu)|^q \right| \leq C(d, q)(K_j/N_j) \|f\|_q^q.$$

Arbitrary trigonometric polynomials

For $n \in \mathbb{N}$ denote $\Pi_n := \Pi(\mathbf{N}) \cap \mathbb{Z}^d$ with $\mathbf{N} = (2^{n-1} - 1, \dots, 2^{n-1} - 1)$, where, as above, $\Pi(\mathbf{N}) := [-N_1, N_1] \times \dots \times [-N_d, N_d]$. Then $|\Pi_n| = (2^n - 1)^d < 2^{dn}$. Let $v \in \mathbb{N}$ and $v \leq |\Pi_n|$. Consider

$$\mathcal{S}(v, n) := \{Q \subset \Pi_n : |Q| = v\}.$$

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$$\mathcal{S}(v, n) := \{Q \subset \Pi_n : |Q| = v\}.$$

Then it is easy to see that

$$|\mathcal{S}(v, n)| = \binom{|\Pi_n|}{v} < 2^{dnv}.$$

Universal discretization problem

We are interested in solving the following problem of universal discretization. For a given $\mathcal{S}(v, n)$ and $q \in [1, \infty)$ find a condition on m such that there exists a set $\xi = \{\xi^\nu\}_{\nu=1}^m$ with the property: for any $Q \in \mathcal{S}(v, n)$ and each $f \in \mathcal{T}(Q)$ we have

$$C_1(q, d) \|f\|_q^q \leq \frac{1}{m} \sum_{\nu=1}^m |f(\xi^\nu)|^q \leq C_2(q, d) \|f\|_q^q.$$

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We present results for $q = 2$ and $q = 1$.

The case $q = 2$

We begin with a general construction. Let $X_N = \text{span}(u_1, \dots, u_N)$, where $\{u_j\}_{j=1}^N$ is a real orthonormal system on \mathbb{T}^d . With each $\mathbf{x} \in \mathbb{T}^d$ we associate the matrix $G(\mathbf{x}) := [u_i(\mathbf{x})u_j(\mathbf{x})]_{i,j=1}^N$. Clearly, $G(\mathbf{x})$ is a symmetric matrix. For a set of points $\xi^k \in \mathbb{T}^d$, $k = 1, \dots, m$, and $f = \sum_{i=1}^N b_i u_i$ we have

$$\frac{1}{m} \sum_{k=1}^m f(\xi^k)^2 - \int_{\mathbb{T}^d} f(x)^2 d\mu = \mathbf{b}^T \left(\frac{1}{m} \sum_{k=1}^m G(\xi^k) - I \right) \mathbf{b},$$

where $\mathbf{b} = (b_1, \dots, b_N)^T$ is the column vector. Therefore,

$$\left| \frac{1}{m} \sum_{k=1}^m f(\xi^k)^2 - \int_{\mathbb{T}^d} f(x)^2 d\mu \right| \leq \left\| \frac{1}{m} \sum_{k=1}^m G(\xi^k) - I \right\| \|\mathbf{b}\|_2^2.$$

We recall that the system $\{u_j\}_{j=1}^N$ satisfies Condition **E** if there exists a constant t such that

$$w(x) := \sum_{i=1}^N u_i(x)^2 \leq Nt^2.$$

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Let points \mathbf{x}^k , $k = 1, \dots, m$, be independent uniformly distributed on \mathbb{T}^d random variables. Then with a help of deep results on random matrices it was proved that

$$\mathbb{P} \left\{ \left\| \sum_{k=1}^m (G(\mathbf{x}^k) - I) \right\| \geq m\eta \right\} \leq N \exp \left(-\frac{m\eta^2}{ct^2N} \right)$$

with an absolute constant c .

The union bound

Consider real trigonometric polynomials from the collection $\mathcal{S}(v, n)$. Using the union bound for the probability we get that the probability of the event

$$\left\| \sum_{k=1}^m (G_Q(\mathbf{x}^k) - I) \right\| \leq m\eta \quad \text{for all } Q \in \mathcal{S}(v, n)$$

is bounded from below by

$$1 - |\mathcal{S}(v, n)|v \exp\left(-\frac{m\eta^2}{cv}\right).$$

For any fixed $\eta \in (0, 1/2]$ the above number is positive provided $m \geq C(d)\eta^{-2}v^2n$ with large enough $C(d)$. The above argument proves the following result.

Main result for $q = 2$

Theorem (Dai, Prymak, VT, Tikhonov, 2018.)

There exist three positive constants $C_i(d)$, $i = 1, 2, 3$, such that for any $n, v \in \mathbb{N}$ and $v \leq |\Pi_n|$ there is a set $\xi = \{\xi^\nu\}_{\nu=1}^m \subset \mathbb{T}^d$, with $m \leq C_1(d)v^2n$, which provides universal discretization in L_2 for the collection $\mathcal{S}(v, n)$: for any $f \in \cup_{Q \in \mathcal{S}(v, n)} \mathcal{T}(Q)$

$$C_2(d) \|f\|_2^2 \leq \frac{1}{m} \sum_{\nu=1}^m |f(\xi^\nu)|^2 \leq C_3(d) \|f\|_2^2.$$

Case $q = 1$

Similar to the case $q = 2$ a result on the universal discretization for the collection $\mathcal{S}(v, n)$ will be derived from the probabilistic result on the Marcinkiewicz-type theorem for $\mathcal{T}(Q)$, $Q \subset \Pi_n$. However, the probabilistic technique used in the case of $q = 1$ is different from the probabilistic technique used in the case $q = 2$. The proof from VT, 2017, gives the following result.

Theorem (VT, 2017)

Let points $\mathbf{x}^j \in \mathbb{T}^d$, $j = 1, \dots, m$, be independently and uniformly distributed on \mathbb{T}^d . There exist positive constants $C_1(d)$, C_2 , C_3 , and $\kappa \in (0, 1)$ such that for any $Q \subset \Pi_n$ and $m \geq yC_1(d)|Q|n^{7/2}$, $y \geq 1$,

$$\mathbb{P} \left\{ \forall f \in \mathcal{T}(Q), \quad C_2 \|f\|_1 \leq \frac{1}{m} \sum_{j=1}^m |f(\mathbf{x}^j)| \leq C_3 \|f\|_1 \right\} \geq 1 - \kappa^y.$$

The union bound

Therefore, using the union bound for probability we obtain the Marcinkiewicz-type inequalities for all $Q \in \mathcal{S}(v, n)$ with probability at least $1 - |\mathcal{S}(v, n)|\kappa^y$. Choosing $y = y(v, n) := C(d)vn$ with large enough $C(d)$ we get

$$1 - |\mathcal{S}(v, n)|\kappa^{y(v, n)} > 0.$$

This argument implies the following result on universality in L_1 .

Main result for $q = 1$

Theorem (Dai, Prymak, VT, Tikhonov, 2018.)

There exist three positive constants $C_1(d)$, C_2 , C_3 , such that for any $n, v \in \mathbb{N}$ and $v \leq |\Pi_n|$ there is a set $\xi = \{\xi^\nu\}_{\nu=1}^m \subset \mathbb{T}^d$, with $m \leq C_1(d)v^2n^{9/2}$, which provides universal discretization in L_1 for the collection $\mathcal{S}(v, n)$: for any $f \in \cup_{Q \in \mathcal{S}(v, n)} \mathcal{T}(Q)$

$$C_2 \|f\|_1 \leq \frac{1}{m} \sum_{\nu=1}^m |f(\xi^\nu)| \leq C_3 \|f\|_1.$$

